

## United States Patent and Trademark Office

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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/608,449

06/30/2003

Shiqin Xiong

NTD-2

Date Mailed: 10/14/2003

**CONFIRMATION NO. 7138** 

**FORMALITIES LETTER** 

\*OC000000011031522\*

23599 MILLEN, WHITE, ZELANO & BRANIGAN, P.C. 2200 CLARENDON BLVD. **SUITE 1400** ARLINGTON, VA 22201

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu

of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

## **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$900 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

Effective OCTOBER 1, 2003. Patent Fees will be adjusted. Please note that fee amounts required by the enclosed Notice might reflect the fees due on the date of filing. Any fee paid on or after OCTOBER 1, 2003 is subject to the new FY 2004 fee schedule and must be paid in the new amount.

\* Indicates

EXAMPLES OF FEES BEING ADJUSTED		indicates
See www.uspto.gov for complete FY 2003		fees remain
and FY-2004 Fee Schedules		at FY 2003
	FY 2003	amount FY 2004
DESCRIPTION		\$770
Basic filing fee - Utility	\$750 \$375	\$770 \$385
Basic filing fee - Utility (Small Entity)		\$86
Independent claims in excess of three	\$84	\$43
Independent claims in excess of three (Small Entity)	\$42 \$18	\$43 \$18*
Claims in excess of twenty	\$16	\$16 \$9*
Claims in excess of twenty (Small Entity)		\$290
Multiple dependent claim	\$280 \$140	\$250 \$145
Multiple dependent claim (Small Entity)	•	\$145 \$130
Surcharge - Late filing fee	\$130 ************************************	\$130 \$65
Surcharge - Late filing fee (Small Entity)	\$65	\$65 \$340
Design filing fee	\$330 \$465	
Design filing fee (Small Entity)	\$165 \$500	\$170
Plant filing fee	\$520 \$360	\$530 \$365
Plant filing fee (Small Entity)	\$260 \$750	\$265 \$770
Reissue filing fee	\$750	
Reissue filing fee (Small Entity)	\$375	\$385 \$86
Reissue independent claims	\$84	\$43
Reissue independent claims (Small Entity)	\$42 \$48	\$43 \$18*
Reissue claims in excess of twenty	\$18	\$10 \$9* :
Reissue claims in excess of twenty (Small Entity)	\$9 \$4.60	\$9 \$160*
Provisional application filing fee	\$160	\$160 ·
Provisional application filing fee (Small Entity)	\$80	\$50*
Surcharge - Late provisional filing fee	\$50	
Surcharge - Late provisional filing fee (Small Entity)	\$25	\$25*
Non-English Specification	\$130	\$130*
Extension - First month	\$110	\$110*
Extension - First month (Small Entity)	\$55 6440	\$55*
Extension - Second month	\$410	\$420
Extension - Second month (Small Entity)	\$205	\$210
Extension - Third month	\$930	\$950
Extension - Third month (Small Entity)	\$465	\$475
Extension - Fourth month	\$1,450	\$1,480
Extension - Fourth month (Small Entity)	\$725	\$740
Extension - Fifth month	\$1,970	\$2,010
Extension - Fifth month (Small Entity)	\$985	\$1,005
Petition	\$130	\$130*
Processing returned checks	\$50	\$50*

For further fee information, please call (703-308-HELP)